

10/590601
IAP9 Rec'd PCT/PTO 24 AUG 2006

EXPRESS MAIL CERTIFICATION UNDER 37 CFR 1.10

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Date of deposit August 24, 2006

I hereby certify that the paper(s) identified above, and any document(s) referred to as attached hereto, is being deposited with the United States Postal Service on the date indicated in an envelope as "Express Mail Post Office to Addressee" and is addressed: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.


Christine Kotran

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sabine Balthasar, et al.
Serial No. :
Filing Date : Herewith
Examiner / Group Art Unit :
Title : Carrier System in the Form of Protein-Based Nanoparticles for the Cell-Specific Enrichment of Pharmaceutically Active Substances (as amended per the preliminary amendment)

Attorney File : RO4304US (#90568)

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97**

Mail Stop PCT
Commissioner for Patents
P O Box 1450
Alexandria, Virginia, 22313-1450

Dear Sir,

Under the provision of 37 C.F.R. 1.56, 1.97 and 1.98, Applicant would like to call the Examiner's attention to the references listed on the attached Form PTO-1449. While copies of U.S. patents or published applications are not required, a copy of U.S. Pub. No. 2004/0131692 A1 is enclosed because it, along with the copy of WO 02/089776 A1, corresponds to the cited published EP 1 392 255 A1.

In accordance with 37 C.R.F. 1.97(b)(1), and as this Information Disclosure Statement is being filed with the application, the Statement is submitted before the issuance of a first Official Action on this case.

In accordance with 37 C.F.R. 1.97(g) and 37C.F.R.1.97(h), filing of this Information disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as


defined in 34 C.F.R. 1.56(b).

The Examiner is requested to make the attached references of record and to consider these references along with such other references deemed pertinent as a result of the Examiner's own independent search and examination.

Respectfully submitted,

Date: August 22, 2006

D. Peter Hochberg Co., L.P.A.
1940 E. 6th Street - 6th Floor
Cleveland, OH 44114
(216) 771-3800
DPH/SM


D. Peter Hochberg
Reg. No. 24,603

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FORM PTO-1449

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sabine Balthasar, et al.

Serial No. :

Filing Date : Herewith

Examiner :

Group Art Unit:

Title : Carrier System in the Form of Protein-Based Nanoparticles for
the Cell-Specific Enrichment of Pharmaceutically Active
Substances (as amended herein)

Attorney File : RO4304US (#90568)

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U S PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name
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U.S. Published Applications

Examiner Initial	Document Number	Date	Name
_____	2004/0131692	7/8/2004	Kreuter, et al. **

Foreign Patent Documents

Examiner Initial	Document Number	Date	Country	Translation (Yes/No)
_____	WO 02/089776	11/14/2002	WO	No **
_____	EP 1392255	3/3/2004	EPO	No **

Other Prior Art (Inc. Author, Title, Date, Pertinent Pages, Etc)

Examiner

InitialDocument

Examiner

Date Considered:

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant

** - denotes corresponding references